PTO/SB/21 (04-04)

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TADEMI & 10/635.243 **Application Number** TRANSMITTAL August 6, 2003 Filing Date **FORM** Hisashi Aruga First Named Inventor (to be used for all correspondence after initial filing) Art Unit 2853 **Examiner Name** Unknown

Total Number of Pages in This Submission			Attorney Docket Number	93198-00	00533	
ENCLOSURES (check all that apply)						
Fee Transmittal Form		☐ Drawing(s)			After Allowance Communication to Technology Center (TC)	
Fee Attached		Licensing-related Papers			Communication to Board of Is and Interferences	
Amendment / Rep	ly	Petition			Communication to TC I Notice, Brief, Reply Brief)	
After Final			n to Convert to a onal Application	Proprie	etary Information	
Affidavits/decl	aration(s)		of Attorney, Revocation e of Correspondence Address	Status	Status Letter	
Extension of Time	Request	Termin	al Disclaimer		Enclosure(s) identify below):	
Express Abandonment Request		Request for Refund  CD, Number of CD(s)		cite	Form 1449 w/copies of non-US cited references; return post card	
☐ Information Disclosure Statement						
Certified Copy of Priority Document(s)		Remarks  The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 50-3213. A duplicate copy of this sheet is enclosed.				
Response to Missi	ation					
Response to N Parts under 3 1.52 or 1.53					•	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Individual name	Harness, Dickey &	Pierce, P.L.	C. Atterney Name C. G. Gregory Schivley		eg. No. 7,382	
Signature S. Mughy Clinkler						
Date August 30, 2005						
	CI	RIFICAT	TÉ OF TRANSMISSION/MA	AILING		
I hereby certify that this correspondence is being fassimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date showy below?						
Typed or printed name	G. Gregory Sc	piviev/		Express Mail Label No.	EV 717 345 163 US (8/30/2005)	
Signature	1	- hes	my blutter	Date	August 30, 2005	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 3/2 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTD. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 2231B-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2231B 1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**Application No.:** 

10/635,243

Filing Date:

August 6, 2003

Applicant:

Hisashi Aruga

Group Art Unit:

2853

Examiner:

Unknown

Title:

MANUFACTURING SYSTEM AND CONTROLLER, CONTROLLING METHOD, CONTROLLING SYSTEM,

AND CONTROL PROGRAM FOR THE MANUFACTURING

**SYSTEM** 

Attorney Docket:

9319S-000533

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

### I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. COPIES

A. Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office; (iii) each unpublished U.S. application listed below in Section IV (i.e., including the specification, claims, and any drawing of the application, or that portion of the application which caused it to be listed, including any claims directed to that portion), except for such applications filed on or after June 30, 2003, pursuant to the Waiver of the Copy Requirement in 37 C.F.R. 1.98 (OG Notice dated October 19, 2004); and (iv) all other information or that portion which caused it to be listed.

B. Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:
U.S. Serial Number  U.S. Filing Date
C. This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search report are listed on the attached Form 1449 for consideration by the Examiner and for listing on any patent resulting from this application. If the International Search report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application (MPEP 1893.03(g).)
CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)
A.   Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).
B. A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):
1. $\boxtimes$ See the attached foreign patent office communication from a counterpart foreign application in: China
<ol> <li>English translations are provided as follows: As indicated on attached Form 1449.</li> </ol>
3. Other: CN1326146A corresponds toUSPN 6,772,260
C. The following additional information is provided for the Examiner's consideration:
CROSS REFERENCE TO RELATED APPLICATION(S)
A.  The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does (do) not waive the confidentiality provisions of 35 U.S.C. § 122.
Serial No. Filing Date Art Unit

III.

IV.

## V. THIS IDS IS BEING FILED UNDER A. X 37 C.F.R. § 1.97(b): (check only one box) 1. Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required. 2. within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required. 3. \times before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p). 4. Defore the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required. B. 37 C.F.R. § 1.97(c): (check only one box) before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution. 1. No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p). 2. See the certification below. No fee is required. C. 37 C.F.R. § 1.97(d): after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee. 1. See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

VI. <u>CERTIFICATION UNDER 37 C.F.R. § 1.97(e):</u> (check <u>only</u> one box)

The undersigned hereby certifies that:

	communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F.R. 1.704(d) below in section VII, if applicable; or
	B.  no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
	C. some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.
VII.	STATEMENT UNDER 37 C.F.R. 1.704(d)
	The undersigned hereby states that:
	each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R § 1.56(c) more than thirty days prior to the filing of this IDS.
VIII.	PAYMENT OF FEES (check only one box)
	A. $\square$ A check in the amount of \$180.00 is enclosed for the above identified fee.
	B.  Please charge Deposit Account No. 50-3213 in the amount of \$180.00 for the above-indicated fee.
anticip combi Disclo	The above references are being cited only in the interest of candor and ut any admission that they constitute statutory prior art, contain matter which pates the invention, or which would render the same obvious, either singly or in ination, to a person of ordinary skill in the art. Furthermore, this Information osure Statement shall not be construed as a representation that a search has made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 50-3213 (Epson R&D).

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 50-3213 (Epson R&D).

Dated: August 30, 2005

G Gregor

Reg/No. 27,7

Respectfully\_submitted,

Harness, Dickey & Pierce, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

GGS/slm



# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1

ATTORNEY DOCKET No.	SERIAL NO.				
9319S-000533	10/635,243				
APPLICANT					
Hisashi Aruga					
FILING DATE .	GROUP				
August 6, 2003	2853				

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		6,772,260	8/3/2004	Kawase et al		

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translatior Yes	n * No
1.		1326146A	12/12/2001	China			X
2.		1075447 <b>&amp;C</b>	11/28/2001	China		Abstract	
3.		86203570	10/28/1987	China		Abstract	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)				
Ref. Desig.	Examiner's Initials			
1.		Communication from Chinese Patent Office re: related application.		

<sup>\*</sup>One or more of the English translation documents submitted herewith may be Abstracts only or partial machine created translations from the Japanese Patent Office. As such, the submitter does not necessarily vouch for their accuracy. Additional information may be obtained from the Japanese Patent Office web site at <a href="www.ipo.go.ip">www.ipo.go.ip</a>

Examiner:	Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.